29920-200171

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DEPARTMENT OF COMMERCE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

20 November 2003 (20.11.2003)

INTERNATIONAL APPLICATION NO. PCT/US2004/038932

INTERNATIONAL FILING DATE 19 November 2004 (19.11.2004) */*578680

TITLE OF INVENTION

PEPTIDE DERIVATIZATION FOR ENHANCING PROTEIN IDENTIFICATION BY MASS SPECTROMETRY

		T(S) FOR DO/EO/US					
KEIL	LY,	James, P. et al.					
Annlic	ant h	erewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
	_						
1.	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.					
2.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.					
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include (9) and (24) indicated below.						
4.	$\boxtimes$	The US has been elected (Article 31).					
5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))					
		a.  is attached hereto (required only if not communicated by the International Bureau).					
		b.  has been communicated by the International Bureau.					
		c. 🗵 is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a.  is attached hereto.					
		b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
1		a.   are attached hereto (required only if not communicated by the International Bureau).					
		b.  have been communicated by the International Bureau.					
		c. $\square$ have not been made; however, the time limit for making such amendments has NOT expired.					
		d.  have not been made and will not be made.					
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Unexecuted)					
10.		An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
11.		A copy of the International Preliminary Examination Report (PCT/IPEA/409).					
12.	$\boxtimes$	A copy of the International Search Report (PCT/ISA/210).					
lte	ems 1	3 to 23 below concern document(s) or information included:					
13.	$\boxtimes$	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.					
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
15.	$\boxtimes$	A FIRST preliminary amendment.					
16.		A SECOND or SUBSEQUENT preliminary amendment.					
17.		A substitute specification.					
18.	$\boxtimes$	A power of attorney and/or change of address letter.					
19.		A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 - 1.825.					
20.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
21.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).					
22.	$\boxtimes$	Express Mail Label No. EV 417058663 US					

# IAP12 Rec'd PCT/PTO 0 9 MAY 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER					
10/	<u>57868</u>	U	PCT/US20	04/0	38932		29920-200171		
23. Other iter	ns or information:								
							T		
	g fees have been s					8200	\$ \$300.00	PTO USE	
25. 図 Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article \$0							\$ \$300.00 \$ \$0.00		
26. Search If the written opin by IPEA/US Search fee (37 C as an Inter International Sea previously	fee (37 CFR 1.492 ion of the ISA/US c S indicates all claim FR 1.445(a)(2)) ha national Searching rch Report prepare communicated to t s.	\$200 \$0 \$100 \$400 \$500	\$ \$100.00						
	L OF 24, 25 and					<u> </u>	\$ \$400.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets Number of each additional 50 or RATE									
	fraction thereof (round up to a whole								
- 100 =	0 /50 =		0		× \$250		\$ \$0.00		
			earch fee, examination the national stage (37 t			h or	\$		
CLAIMS	NUMBER F	ILED	NUMBER EXTRA		RATE				
Total claims	16	- 20 =	0	х	\$50	0.00	\$ \$0.00		
Independent clair	ns 2	- 3=	0	x	\$200	0.00	\$ \$0.00		
MULTIPLE DEPE	NDENT CLAIMS	(if applicable	) 🗆	+	\$360	0.00	\$ \$0.00		
TOTAL OF ABOVE CALCULATIONS =						NS =	\$ \$400.00		
☐ Applicant cla 1/2.	ims small entity st	atus. See 37	CFR 1.27. Fees abov	e are	reduced b	ру	\$ \$0.00		
SUBTOTAL =							\$ \$400.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$ \$0.00		
TOTAL NATIONAL FEE =							\$ \$400.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$ \$0.00		
TOTAL FEES ENCLOSED =						\$ \$400.00			
							Amount to be	\$	
							Amount to be	\$	

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10/578680

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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a. X A check in the amount of \$ to cover the above fees is enclosed. \$400.00 Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435 . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status SEND ALL CORRESPONDENCE TO: SIGNATURE **Bradford G. ADDISON** ADDISON, Bradford G. NAME **BARNES & THORNBURG LLP** 11 South Meridian Street 41,486 Indianapolis IN 46204 REGISTRATION NUMBER USA 09 May 2006 (09.05.2006) DATE

### **BARNES & THORNBURG LLP**

IAP12 Rec'd PCT/PTO 09 MAY 2006

11 South Meridian Street Indianapolis, Indiana 46204 (317) 236-1313

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney		)				
Docket:	29920-200171					
		)				
Applicant:	REILLY, James P., et al.	)				
Invention:	PEPTIDE DERIVATIZATION	)				
invention:		,				
	FOR ENHANCING PROTEIN	)				
	IDENTIFICATION BY MASS	)				
	SPECTROMETRY	)				
		)				
U.S. Serial No.:	UNKNOWN	)				
		)				
International		)				
Serial No:	PCT/US2004/038932	)				
		)				
International		)				
Filing Date:	November 19, 2004					
Earliest						

November 20, 2003

#### **CERTIFICATE UNDER 37 C.F.R. § 1.10**

Attention: DO/EO/US

Mail Stop PCT

Priority Date:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

"Express Mail" Mailing Label No.

EV 417058663 US:

Date of Deposit:

May 9, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US.

Ernest A. Carlson

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